

Import LC Amendment - Beneficiary Consent User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Import LC Amendment - Beneficiary Consent user Guide  
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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Import LC Amendment Beneficiary Consent process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

### 1.3.1 Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## 3. Import LC Amendment - Beneficiary Consent

Import LC Amendment - Beneficiary Consent process enables the user to register the beneficiary consent response received for an amendment made to a LC.

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2.4 Document Linkage](#)

[3.2 Registration](#)

[3.5 Approval](#)

### 3.1 Common Initiation Stage

The user can initiate the new import LC amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

ORACLE  
Initiate Task  
Registration  
Process Name  
LC Reference Number \*  
Branch \*  
Import LC Amendment Beneficia...  
PK2-FLEXCUBE UNIVERSAL BANK  
Proceed Clear

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

**3.1.0.1 Action Buttons**

Use action buttons based on the description in the following table:

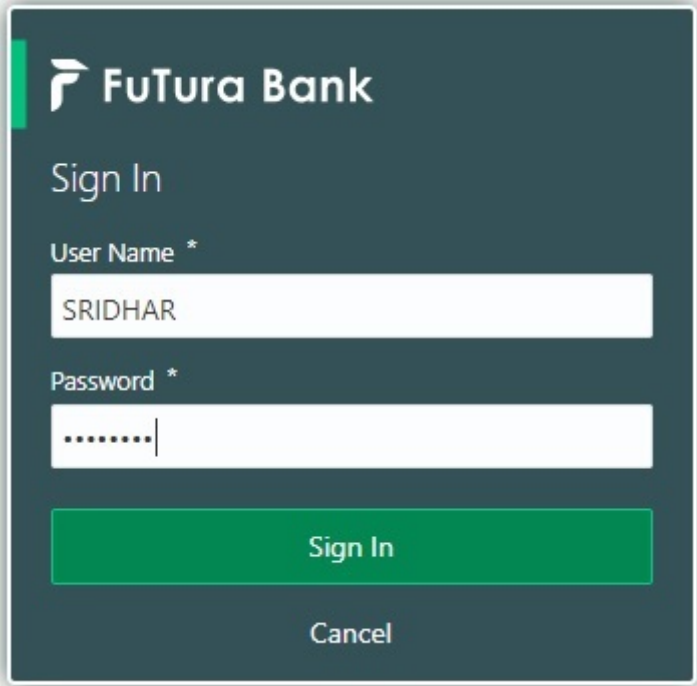
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

**3.2 Registration**

If beneficiary response is given through branch either by fax, mail, or paper, the Import LC amendment - Beneficiary Consent process starts from the Scrutiny Stage.

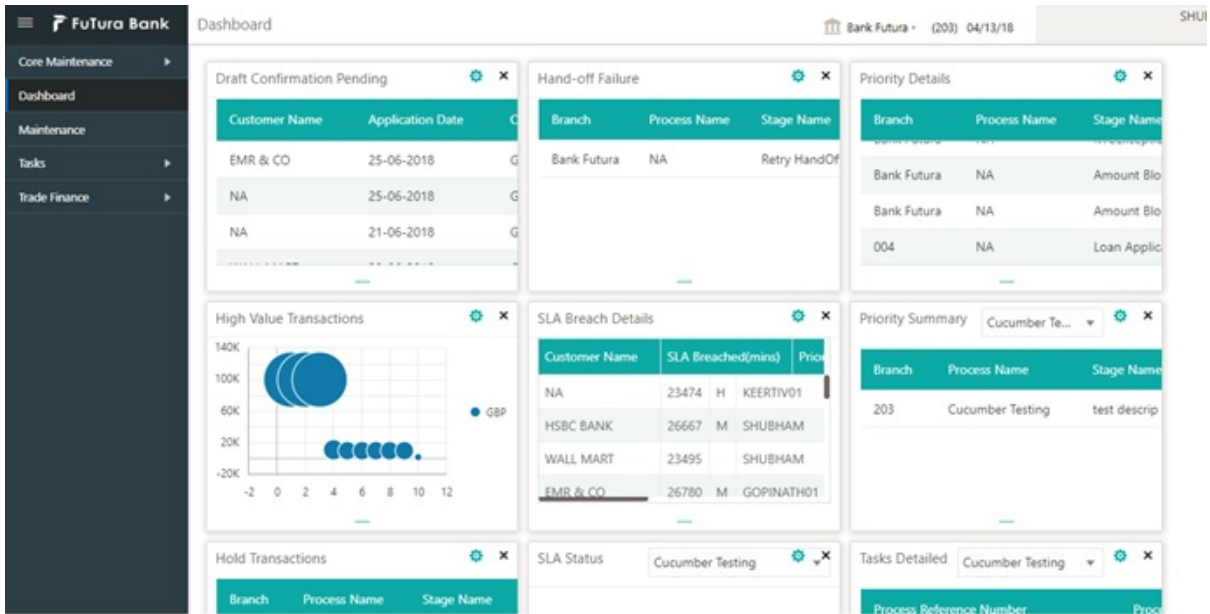
During Registration stage, user can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. It also enables the user to capture beneficiary response.

- 1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

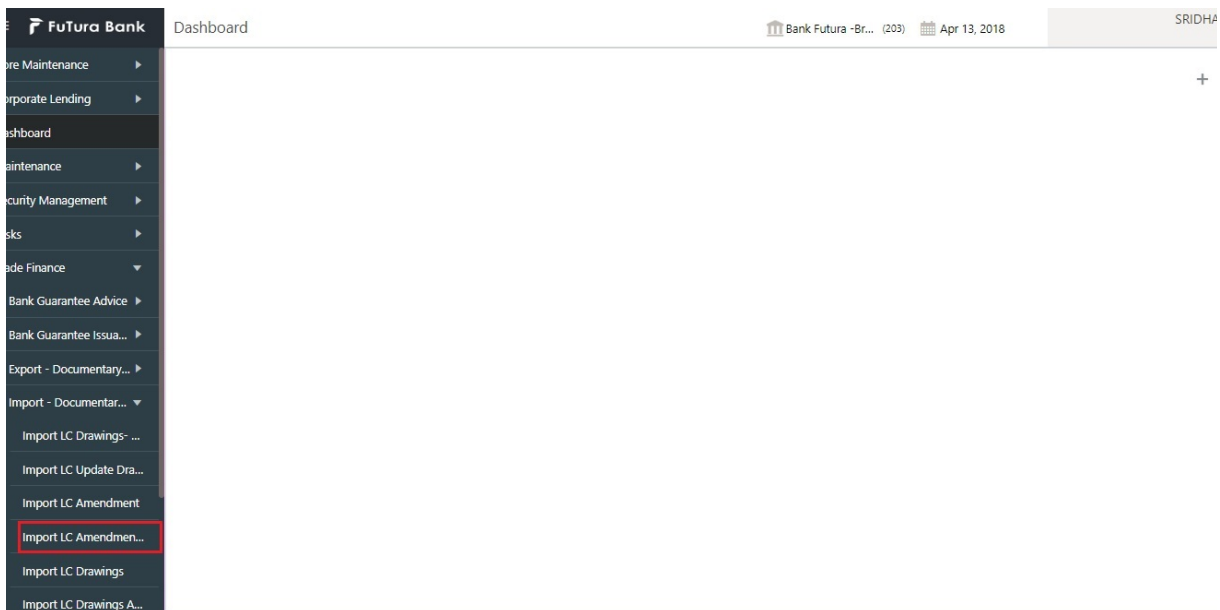




- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance > Import - Documentary Credit > Import LC Amendment Beneficiary Consent**.



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

### 3.2.1 Application Details

Import LC Amendment Beneficiary Consent

Application Details

Documentary Credit Number: PK2ILUN21125AFTH

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Process Reference Number: PKLCA000023997

Priority: Medium

Submission Mode: Desk

User Reference Number: PK2ILUN21125AFTH

Response Received Date: Jun 13, 2021

Beneficiary Response Capture

Amendment Number	Amendment Date	Bene Conf Reqd	Beneficiary Response	Remarks	Action
	Jun 13, 2021	<input checked="" type="checkbox"/>	Unconfirmed		
	Jun 13, 2021	<input checked="" type="checkbox"/>	Confirmed		

Buttons: Hold, Cancel, Save & Close, Submit





Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.  In the advanced LOV search, user can input Applicant, Currency, Amount and User Reference to fetch the LC Amendment details. Based on the search result, select the applicable LC to add the Beneficiary response.	
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.	001344
Received From - Customer Name	Read only field. Customer Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	Set the priority of the Import LC Amendment - Beneficiary Consent request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Import LC Amendment - Beneficiary Consent request. By default the submission mode will have the value as 'Desk'.  <b>Desk-</b> Request received through Desk <b>Fax-</b> Request received through Fax <b>Email-</b> Request received through Email <b>Courier-</b> Request received through Courier	Desk
User Reference Number	Read only field.  User Reference Number will be auto populated by the system based on selected LC.	
Response Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  <hr/> <b>Note</b>  Future date selection is not allowed.	04/13/2018

### 3.2.2 Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Beneficiary Response Capture						
Amendment Number	Amendment Date	Bene Conf Reqd	Beneficiary Response	Remarks		Action
	May 5, 2021	 <input checked="" type="checkbox"/>	Unconfirmed			
	May 5, 2021	 <input checked="" type="checkbox"/>	Confirmed			

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field.  Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field.  This field displays the date on which the amendment was made to LC.	
Beneficiary Conf Required	Read only field.  Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC using documentary credit number.	

Field	Description	Sample Values
Beneficiary Response	<p>Select the beneficiary response from the LOV.</p> <ul style="list-style-type: none"> <li>Confirmed</li> <li>Unconfirmed</li> <li>Rejected</li> </ul> <hr/> <p><b>Note</b></p> <p>Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p>	
Remarks	Capture the remarks of the beneficiary response.	

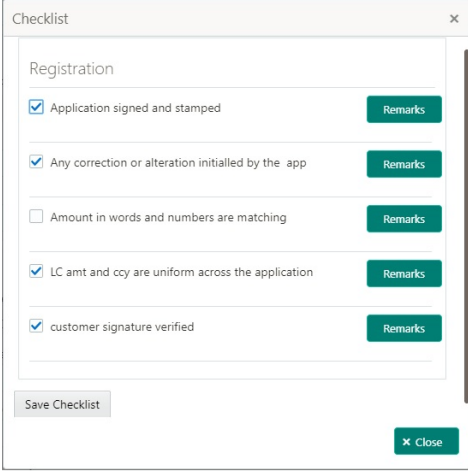
### 3.2.3 Miscellaneous

The screenshot displays the Oracle Banking Trade Finance interface for 'Import LC Amendment Beneficiary Consent Islamic'. The top navigation bar includes 'ORACLE', '(DEFAULTENTITY)', 'Oracle Banking Trade Finan...', and 'ZARTAE subham@gmail.com'. Below the navigation are tabs for 'Signatures', 'Documents', 'Remarks', and 'Customer Instruction'. The main section is titled 'Application Details' and contains several input fields: Documentary Credit Number (2ILIN211443001), Received From - Customer ID, Received From - Customer Name, Branch (PK2-Oracle Banking Trade Finan...), Business Reference Number (2IILA000023998), Priority (Medium), Submission Mode (Desk), and User Reference Number (PK2ILIN211443001). A 'Response Received Date' field shows 'Jun 13, 2021'. Below this is the 'Beneficiary Response Capture' section, which is a table with columns: Amendment Number, Amendment Date, Bene Conf Reqd, Beneficiary Response, Remarks, and Action. The table contains one row with 'Unconfirmed' as the response. At the bottom right, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View LC	Enables user to view the details of the LC.	
<b>Action Buttons</b>		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Amendment - Beneficiary Consent.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request.	
Cancel	Cancels the Import LC Amendment - Beneficiary Consent Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

### 3.2.4 Document Linkage

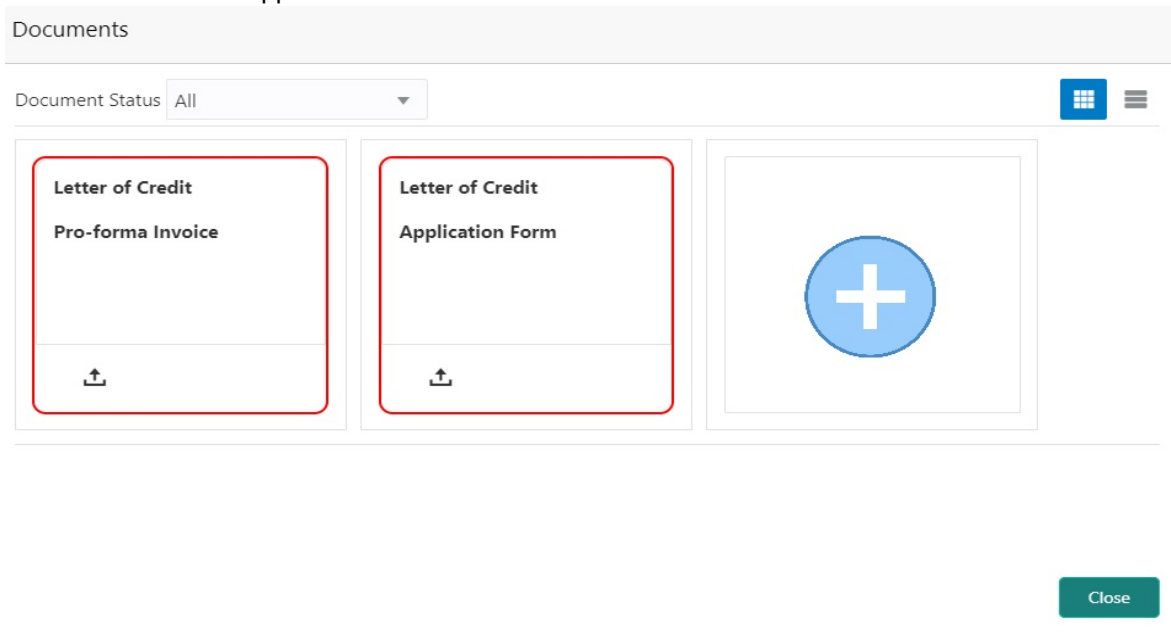
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	

Field	Description	Sample Values
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.  
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id * 032204	Document Id 
Document Type * ▼	Document Code * ▼

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items) | < > 1 >

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
<b>Search Result</b>		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	



Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id \*  
032204

Document Type \*  
Documentary Collection

Document Id

Document Code \*  
Insurance Policy

[Fetch](#)

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
<a href="#">Link</a>	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
<a href="#">Link</a>	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
<a href="#">Link</a>	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
<a href="#">Link</a>	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
<a href="#">Link</a>	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page  of 2 (1-5 of 7 items) [K](#) [<](#)  [2](#) [>](#) [X](#)

[Close](#)

Post linking the document, the user can View, Edit and Download the document.

### 7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id 2400	Document Title wqwq
Application Reference Number PK2ILCI000019041	Entity Reference Number PK2ILCI000019041
Document Type Id TFPM_DOCTYPE001	Document Description
Remarks	Document Expiry Date Jun 29, 2022

Drop files here or click to select

Current selected files: []

## 3.3 Data Enrichment

**Non-Online Channel** - Import LC Amendment - Beneficiary Consent request that were received at the desk will move to **Data Enrichment** stage post successful Registration. The requests will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via online channel like SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

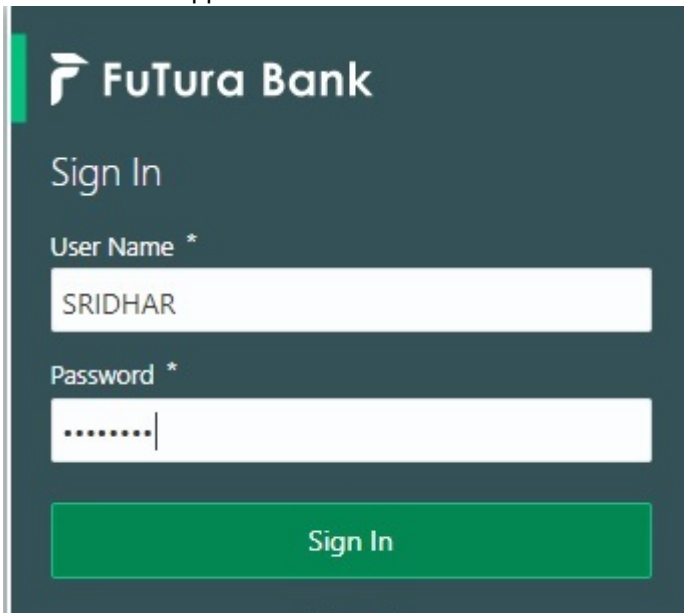
---

### Note

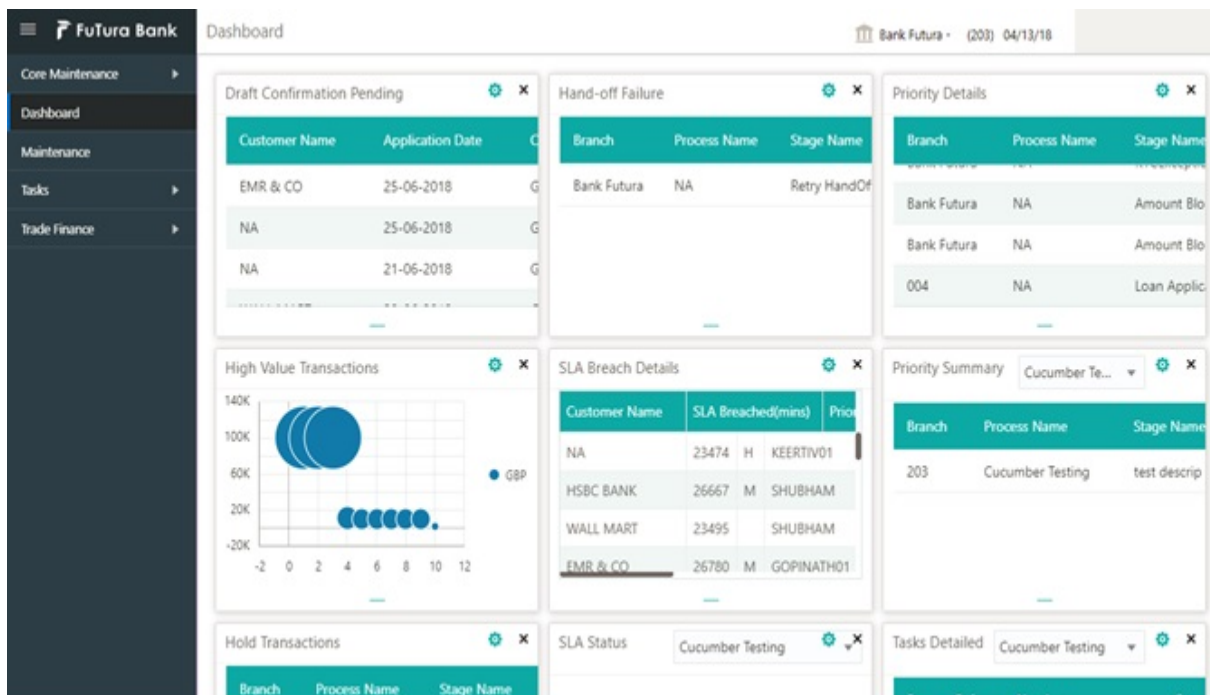
For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

- Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click **Tasks > Free Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
Acquire & Edit	M	GS11LCA000006455	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Data Enrichment	NA
Acquire & Edit		GS11LCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	M	GS1ELCA000006452	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	H	GS1ELCA000006451	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2884 records Next

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
Acquire & Edit	M	PK2ILCA000059388	PK2	001044	£20,000.00	Import LC Amendment	DataEnrichment	NA
Acquire & Edit		GS11LCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	M	GS1ELCA000006452	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190
Acquire & Edit	H	GS1ELCA000006451	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190

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### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
Edit	M	GS11LCA000006455	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Data Enrichment	NA

Page 1 of 1 (1 of 1 items) Previous 1 - 1 of 1 records Next

The Data Enrichment stage has three sections as follows:

- Main Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

### 3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Beneficiary Response Capture

#### 3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

The screenshot shows the Oracle application interface. The top navigation bar includes the Oracle logo, user information (SANDH), and application details (ENTITY\_ID1, ENTITY\_J...). The main content area is titled 'Main Details' and contains several sections:

- Application Details:** A grid of fields including Documentary Credit Number (032ILSN23215BQ4H), Received From - Customer ID (032204), Received From - Customer Name (Air Arabia), Branch (032-Oracle Banking Trade Finan...), Process Reference Number (032ILCA000172717), Priority (Medium), Submission Mode (Desk), and User Reference Number (032ILSN23215BQ4H). The Response Received Date is Aug 3, 2023.
- Beneficiary Response Capture:** A table with columns: Amendment Number, Amendment Date, Bene Conf Reqd, Beneficiary Response, Remarks, and Action. One row is shown with Amendment Number 1, Amendment Date Aug 3, 2023, Bene Conf Reqd checked, Beneficiary Response Confirmed, and an edit icon in the Action column.
- Information to Reimbursing Bank:** Two search boxes for '722 Sender to Receiver Information-747' and '77 Narrative - 747' with search and download icons.

The bottom of the page features a navigation bar with buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

#### 3.3.1.2 Beneficiary Response Capture

The fields listed under this section are same as the fields listed under the [3.2.2 Beneficiary Response Capture](#) section in [3.2 Registration](#). Refer to [3.2.2 Beneficiary Response Capture](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

The screenshot shows a table titled 'Beneficiary Response Capture' with the following data:

Amendment Number	Amendment Date	Bene Conf Reqd	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Rejected		<input type="checkbox"/>
2	May 5, 2021	<input checked="" type="checkbox"/>	Rejected		<input type="checkbox"/>

The bottom of the page features a navigation bar with buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Following are the fields which can be amended apart from the fields carried over from [3.2.2 Beneficiary Response Capture](#) of [3.2 Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Beneficiary Response	<p>Select the beneficiary response from the LOV.</p> <ul style="list-style-type: none"> <li>• Confirmed</li> <li>• Rejected</li> </ul> <hr/> <p><b>Note</b></p> <p>Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p>	
Remarks	Capture the remarks of the beneficiary response.	

### 3.3.1.3 Information to Reimbursing Bank

Field	Description	Sample Values
Sender to Receiver Information-747	<p>Click <b>Search</b> icon to search and select the sender to receiver information</p> <p>Click the edit icon to view and modify the description of sender to receiver information.</p>	
Narrative - 747	<p>Click <b>Search</b> icon to search and select the narrative.</p> <p>Click the edit icon to view and modify the description of narrative.</p>	

### 3.3.1.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	<p>Enables user to view the details of the LC.</p> <p>The user can view the LC Details, Availability Details, Shipment Details, Payment Details, Document Details, Additional Conditions, Revolving Details, Cash Collateral Details and FX Linkage details.</p>	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	




### 3.3.2 Advices


Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

The screenshot shows the Oracle Banking interface for the Advices menu. The top navigation bar includes the Oracle logo, user information (SANDH), and application details (Entity ID1, Oracle Banking Trade Finan..., Aug 3, 2023). Below the navigation bar, there are tabs for Documents, Remarks, Overrides, Customer Instruction, View LC, and Signatures. The main content area displays a grid of eight advice tiles, each with details such as Advice Name, Advice Party, Party Name, and Suppress status. The tiles are arranged in two rows of four. At the bottom of the screen, there is a footer with buttons for Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.



The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<p><b>Toggle on:</b> Switch on the toggle if advice is suppressed.</p> <p><b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	<p>Read only field.</p> <p>This field displays the advice name defaulted from drawing LC.</p>	
Medium	<p>The medium of advices is defaulted from the system.</p> <p>User can update if required.</p>	
Advice Party	<p>Read only field.</p> <p>Value be defaulted from drawing LC.</p>	
Party ID	<p>Read only field.</p> <p>Value be defaulted from drawing LC.</p>	
Party Name	<p>Read only field.</p> <p>Value be defaulted from drawing LC .</p>	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	<p>Click Edit icon to edit the FFT details.</p> <p>Click Delete icon to delete the FFT details.</p>	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	

Field	Description	Sample Values
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

### 3.3.3 Additional Details

Port LC Amendment Beneficiary Consent  
 iEnrichment : Application No:- PK2ILCA000012786

Documents Remarks Overrides Customer Instruction View LC Signatures

Main Details  
 Advices  
**Additional Details**  
 Settlement Details  
 Summary

Screen ( :)

**Additional Details**

Commission,Charges and...	Preview Messages
Charge : <b>GBP 100.00</b> Commission : <b>GBP 137.50</b> Tax : <b>GBP 895.00</b> Block Status :	Language : Preview Advice : -

Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.3.1 Commission, Charges and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

Override message for charges should be displayed for - LC should be cancelled only after recovery of all outstanding charges.

Commission, Charges and taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acct	Amend
LCCOURAMND	1		GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	0322040001	No
LCSWFTBC	1		GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	0322040001	No
LCSWIFTAMN	1		GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	0322040001	No

Page 1 of 1 (1-3 of 3 items) < > 1 >

Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acct
No data to display.											

Page 1 (0 of 0 items) < > 1 >

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
-----------	------	------------	-----	--------	---------	-------	-------------

### 3.3.3.2 Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Mod. Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Ccy	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settl. Acct	Details of the Settlement Account.	
Amend	The value is auto-populated as the commission can be amended or not.	

### 3.3.3.3 Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Ccy	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The user can split the Commission by enabling/disabling the flag as per the requirement.	

Field	Description	Sample Values
Charge Party	Charge party will be applicant by default.	
Settl. Account	Details of the settlement account.	

### 3.3.3.4 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Ccy	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settl. Account	Details of the settlement account.	

#### **Split Settlement**

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

### Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ×

Component	Amount
CHGTRAMND_LIQD_S01	50
Customer	<input type="checkbox"/>
001044	
Account	Account Currency
PK20010440017	GBP
Branch	Percentage
PK2	50.00
Exchange Rate	Original Exchange Rate
1	1
Party Type	Negotiation Reference
BEN	
AR-AP Tracking	Loan/Finance Account
<input type="checkbox"/>	N
Negotiation Rate	

### 3.3.3.5

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBT-FPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	



<b>Field</b>	<b>Description</b>	<b>Sample Values</b>
Negotiation Rate	Specify the negotiation rate.	

### 3.3.3.6 Preview Messages

#### 3.3.3.7 User can preview the draft message.

#### 3.3.3.8

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	

Field	Description	Sample Values
Preview Message	Display a preview of the advice.	

### 3.3.3.9 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Back	On Click of Back, the application loads previous stage inputs.	

### 3.3.3.10

### 3.3.4 Settlement Details

ORACLE ENTITY\_ID1 (ENTITY\_ID1...) Oracle Banking Trade Finan... Aug 3, 2023 SANDH subham@gmail

Port LC Amendment Beneficiary Consent  
 taEnrichment :: Application No:- 032ILCA000172717

Documents Remarks Overrides Customer Instruction View LC Signatures

Main Details  
 Advices  
 Additional Fields  
 Additional Details  
**Settlement Details**  
 Summary

Settlement Details  
 Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AILSN_COM1_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
AILSN_COMM_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMT_OS	AED	Credit	0322040001	Air Arabia	AED	No	No
COLLAMT_OSEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLL_AMNDAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	Yes
COLL_AMT	AED	Debit	0322040001	Air Arabia	AED	No	No
COLL_AMT_DECR	AED	Credit	0322040001	Air Arabia	AED	No	Yes
COLL_AMT_INCR	AED	Debit	0322040001	Air Arabia	AED	No	Yes
COLL_AVALAMTEQ	AED	Credit	0322040001	Air Arabia	AED	No	No
LCCOURAMND_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	Yes

Reject Refer Hold Cancel Save & Close Back Ne

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	

Field	Description	Sample Values
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

### 3.3.5 Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

#### Tiles Displayed in Summary

- Main Details - User can view the main details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Additional Fields - User can view the additional field details.
- Parties Details - User can view the parties details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Advices - User can view the advice details.
- Preview Messages - User can view the preview message.
- Settlement Details - User can view the settlement details.
- Accounting Details - User can view the accounting entries generated in back office.

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

### 3.3.5.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View LC	Enables user to view the details of the LC.	
Submit	Task will get moved to next logical stage of Import LC Amendment - Beneficiary Consent.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Back	On Click of Back, the application loads previous stage inputs.	

## 3.4 Exceptions

The Import LC Amendment Beneficiary Consent request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### 3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number “to the back office. On successful handoff, back office will make use of these “Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

#### 3.4.1.1 **Amount Bock Exception**

This section will display the amount block exception details.

### 3.4.1.2 Summary

Port LC Amendment Beneficiary Consent  
 Amount Block Exception Approval : Application No:- PK2ILC1000012533

Documents Remarks Overrides Customer Instruction View LC Signatures

Amount Block Exception Summary Screen ( 2

Main Details	Commission,Charges and Taxes	Parties Details	Compliance details
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b> Date of Expiry : <b>2021-08-03</b> Place of Expiry : <b>fdfdf</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Advising Bank : <b>CITIBANK E</b> Beneficiary : <b>MARKS AND</b> Applicant : <b>GOODCARE PLC</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>
Advices	Preview Messages	Accounting Details	Settlement Details
Advice 1 : Advice 2 :	Language : <b>ENG</b> Preview Message : -	Event : Account Number : Branch :	Component : Account Number : Currency :

Reject Refer Hold Approve Back

#### Tiles Displayed in Summary:

- Main Details - User can view the main details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Parties Details - User can view the parties details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Advices - User can view the advice details.
- Preview Messages - User can view the preview message.
- Accounting Details - User can view the accounting entries generated in back office.

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details.



### 3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Import LC Amendment Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

### 3.4.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### 3.4.2.1 Summary

Import LC Amendment Beneficiary Consent  
KYC Exceptional approval :- Application No:- PK2ILCI00012533

Documents Remarks Overrides Customer Instruction View LC Signatures

KYC Exception

Summary

Main Details	Commission,Charges and Taxes	Parties Details	Compliance details
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b> Date of Expiry : <b>2021-08-03</b> Place of Expiry : <b>fdfdf</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Advising Bank : <b>CITIBANK E</b> Beneficiary : <b>MARKS AND</b> Applicant : <b>GOODCARE PLC</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>
Advices	Preview Messages	Accounting Details	Settlement Details
Advice 1 : Advice 2 :	Language : <b>ENG</b> Preview Message : -	Event : Account Number : Branch :	Component : Account Number : Currency :

Audit

Reject Refer Hold Approve Back

Tiles Displayed in Summary:

- Main Details - User can view the main details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Parties Details - User can view the parties details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Advices - User can view the advice details.
- Preview Messages - User can view the preview message.
- Accounting Details - User can view the accounting entries generated in back office.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details.

### 3.4.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Import LC Amendment KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

### 3.4.3 Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

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**Note**

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

**Approve**

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

**Refer**

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

**Reject**

The transaction due to non-availability of limits capturing reject reason.

**3.4.3.1 Limit/Credit Check**

This section will display the amount block exception details.

**3.4.3.2 Summary**

Tiles Displayed in Summary:

- Main Details - User can view the main details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Parties Details - User can view the parties details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Advices - User can view the advice details.
- Preview Messages - User can view the preview message.
- Accounting Details - User can view the accounting entries generated in back office.

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**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details.

### 3.4.3.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Import LC Amendment Limit exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

## 3.5 Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

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## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.5.1 Summary

Port LC Amendment Beneficiary Consent  
Approval Task Level 1 :: Application No:- PK2ILCA000072385

Documents Remarks Overrides Customer Instruction View LC Signatures

Main Details	Commission, Charges and Taxes	Parties Details	Compliance details	Advices
Term of LC : <b>IRREVOCABLE</b> Commission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b> Date of Expiry : <b>2021-08-03</b> Date of Expiry : <b>fdfdf</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Beneficiary : <b>MARKS AND</b> Applicant : <b>GOODCARE PLC</b> Advising Bank : <b>CITIBANK E</b>	KYC : <b>Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	Advice 1 : Advice 2 :
Preview Messages	Accounting Details	Exception(Approval)		
Language : <b>ENG</b> Preview Message : -	Event : Account Number : Branch :	EXCEPTION : <b>Nil</b>		

Reject Hold Refer Cancel Approve

#### Tiles Displayed in Summary

- Main Details - User can view the main details.
- Commission, Charges and Taxes - User can view the commission, charges and taxes details.
- Parties Details - User can view the parties details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Advices - User can view the advice details.
- Preview Messages - User can view the preview message.
- Accounting Details - User can view the accounting entries generated in back office.

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## Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Settlement Details - User can view the settlement details.
- Exception (Approval) - User can view the exception details.

### 3.5.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

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